STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: AMERIPRISE FINANCIAL SERVICES, INC.

File No: 0500280

TO THE RESPONDENT: Ameriprise Financial Services, Inc.

CRD#6363

C/o Alexander Moore, Esq. Office of General Counsel

50601 Ameriprise Financial Center

Minneapolis, MN 55474

ORDER OF DISMISSAL

WHEREAS, the Secretary of State, Illinois Securities Department, pursuant to a Settlement Agreement entered into with Ameriprise Financial Services, Inc., has determined that the Notice of Hearing may be dismissed against Respondent Ameriprise Financial Services, Inc.

IT IS HEREBY ORDERED: The Notice of Hearing in this matter dated June 2, 2008, is dismissed with prejudice.

Dated this 2nd day of June, 2008.

JESSE WHITE
Secretary of State
State of Illinois

Jason Chronopoulos Enforcement Attorney Illinois Securities Department Chicago, Illinois